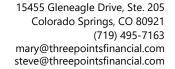




Fee-Only, Tax Focused Financial Planning

DISCOVERY FINANCIAL PROFILE

All information that you provide is strictly CONFIDENTIAL and will not be disclosed to anyone without your consent. To best determine how your financial planning needs and goals can be successfully served by Three Points Financial, Inc., please complete the form below and upload to our Secure Sharefile Link.





DISCOVERY FINANCIAL PROFILE

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How did you discover Three Points Financial, Inc.?

General Information

		Client		Co-Client
Name				
Birthdate				
Home Address				
City, State, Zip				
Preferred Email				
Home Phone				
Cell Phone				
Work Phone				
Please check one	: □ Single	☐ Married Anniversary	☐ Divorced	☐ Widowed
Income				
		Client		Co-Client
Occupation				
Employer				
Annual Salary				
Bonus				
Other Income				

Do you have a retirement goal? Year or Age?





15455 Gleneagle Drive, Ste. 205 Colorado Springs, CO 80921 (719) 495-7163 mary@threepointsfinancial.com steve@threepointsfinancial.com

Dependents and Adult Children

Name	Relationship	Birthdate	Resides in City, State

What are your three most important financial goals/concerns?				
1				
2				
3				

Value of Assets

Description	Client	Co-Client
Regular Non-Retirement Accounts:		
Cash Accounts (checking & savings)		
CDs		
Mutual Funds, Stocks, Bonds		
Other Investments		
Retirement Accounts:		
Employer Plans (401k, 403b, deferred comp, etc.)		
Traditional IRAs		
Roth IRAs		
Other		
Real Estate:		
Primary Residence & Provide Date Purchased		
Other Real Estate, Rentals, Land		
Other		
Annuities		
529 Plans		
RSU's		



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Are you contributing on a regular basis to a work or personal retirement plan such as a 401(k), 403(b), leferred compensation, ROTH or IRA? \Box Y \Box N \Box If so, how much per year for each plan(s)?					
dererred compensation, Re	inorika: 🗀 i 🗀 i ii	30, now much p	er year for each plant	3):	
Loans					
Description	Current Balance (\$)	Rate (%)	Loan Length (if ap	pplicable)	
Home Mortgage				<u>, </u>	
Other Mortgage					
Auto Loan #1					
Auto Loan #2					
Student Loans					
Credit Card #1					
Credit Card #2					
Personal / Family Loans					
Pension Available? □ γ	-				
Estimated amount at Retiren	nent \$				
Survivor Benefit 🔲 Y 🗌	N				
Percentage					
Estate Documents					
Do you have a will?	□ N Year drafted:		ad dimantivani 🗆 V		



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Life Insurance

Person Insured	Amount	Years Remaining (Term Only)	Annual Premium	Insurance Company or Group			
Do you have Long-Te	Do you have Long-Term Care Insurance? Y N						
Other Inform	nation						
		_					
Do you work with	a tax professional?]Y □N					
Does your tax pro	fessional provide any ta	k planning or proj	ection service	es? 🗆 Y 🗆 N			
About how much does it cost you to live each month?							
How much do you expect to annually earn on your investments? ☐ 4 - 6% ☐ 6 -8% ☐ 10 - 12% ☐ 12 - 15% ☐ Not Sure							
What did you do the last time the stock market went down by 10% or more?							
Have you ever been unhappy with the recommendations of a financial advisor, insurance agent, or stock broker? Please explain.							
Are there other concerns or items you would like to share with us?							